

NOMINATION FORM
(Refer Regulation 65 (d) of the Regulations)

To
The Managing Director & CEO
UCO Bank,
10, B.T.M. Sarani,
(Brabourne Road),
Kolkata – 700 001

Dear Sir,

ELECTION OF DIRECTORS

With reference to your Notice Dated 26th October, 2017, I,, a shareholder of UCO Bank holding.....equity shares of Rs. 10/- each as on 27th October, 2017, the cut off date for participating in the election, do hereby nominate Shri/ Smt.....son/daughter/wife of

residing at for being elected as a Director of UCO Bank representing the shareholders of the Bank as provided in Section 9(3)(i) of The Banking Companies (Acquisition and Transfer of Undertakings) Act 1970 at the Extraordinary General Meeting of the Shareholders of the Bank to be held on 12th December, 2017.

Name	
Signature	
No. of shares	
Regd. Folio No. (if not dematerialized)	
DP ID No. Client ID No. (if dematerialized)	
Place	
Date	

Notes :

- 1) In case nomination is made by a body corporate, the Nomination Form should be accompanied by a certified true copy of the resolution passed by the Board of Directors under the signature of the Chairman of the meeting at which it was passed.
- 2) Signature of the shareholders nominating the candidature should match with the specimen signature available with the Share Transfer Agent of the Bank.
- 3) If any of the columns above is left Blank or the particulars are found to be incorrect, the nomination is liable to be rejected.

Declaration

(By the Candidate)

I,.....son/daughter/wife of
Shri/Smt..... resident
of.....
.....hereby confirm that :

- a. I am a Shareholder holding equity shares of Rs.10/- each of the Bank under Folio No...../DPID No...../Client ID No..... as on Friday 27th October 2017, i.e., the Cut-Off Date for participating in the election, and undertake to hold the shares till the end of the tenure, if elected as a Director of the Bank;
- b. *I have special knowledge or practical experience in (i) agriculture and rural economy, (ii) banking, (iii) co-operation, (iv) economics, (v) finance (vi) law,(vii) small scale industry, or any other matter the special knowledge of IT/Payment & Settlement Systems/Human Resources/Risk Management/Business Management etc. and practical experience of which in the opinion of Reserve Bank of India would be useful to the Bank) and I represent the interest of the depositors or farmers, workers and artisans, in terms of sub-section 3A of Section 9 of the Act and as an evidence thereof, I submit herewith the relevant testimonials, and

(*Delete whichever is not applicable.)
- c. I accept the nominations numbering; and
- d. I am willing to contest for the election of Director of UCO BANK; and
- e. I am not disqualified from being a director of the Bank under the provisions of the Banking Regulations Act, 1949, the Banking Companies (Acquisition and Transfer of Undertakings) Act, 1970, the Nationalized Banks (Management and Miscellaneous Provisions) Scheme, 1970, UCO Bank (Shares & Meetings) Regulations, 2003 as amended, relevant Notifications issued by RBI and Guidelines issued by GOI with regard to Non Official Directors of Public Sector Banks and
- f. I am not disqualified under Section 164 of the Companies Act, 2013; and
- g. I neither hold any office of profit nor I am an employee of any Nationalized Bank or State Bank of India constituted under sub-section (1) of Section 3 of the State Bank of India Act, 1955 or any Subsidiary Bank as defined in Section 3 of the State Bank of India (Subsidiary Banks) Act, 1959.

I further declare that

- h. I have not been at any time adjudicated as an insolvent or have suspended payment or has compounded with my creditors; and
- i. I have not been found of unsound mind and stands so declared by a competent court and have not been convicted by a Criminal Court of an offence which involves moral turpitude; and
- j. I have not been declared as proclaimed offender by any Economic Officer or Judicial Magistrate or High Court or any other court; and
- k. I am not connected with any hire purchase, financing investment, leasing and other para-banking activities and I am not a MP / MLA / MLC / Stock broker

- l. I have not been a Director on the Board of any Public Sector Bank, or Financial Institution or RBI or Insurance Company in the past, under any category for two terms or six years, whichever is longer and whether continuously or intermittently; and
- m. I have not been a Director on the Board of this Bank, in the past, under any category for two terms or six years whichever is longer; and
- n. I will sever professional relationship, if any, with the Bank forthwith on getting elected and will not undertake any professional relationship with the Bank during my tenure as Director and for a period of two years thereafter; and
- o. I am not a partner in any Chartered Accountant Firm currently engaged in any Public Sector Bank as a Statutory Central Auditor; and
- p. I am not a partner in any Chartered Accountant Firm currently engaged in Nationalized Bank as a Statutory Branch Auditor or Concurrent Auditor; and
- q. I undertake to keep the Bank fully informed, as soon as possible, of events, if any, which take place subsequent to this declaration which are relevant to the information provided hereto and to execute the Deed of Covenants upon my election as a Director of the Bank; and
- r. I undertake to comply with the relevant provisions of the SEBI (Listing Obligations and Disclosures Requirements) Regulations, 2015, as amended till I hold the position as a Director of the Bank; and
- s. I enclose my personal details which are to the best of my knowledge and belief true and complete in all respects, and
- t. I give below the details of my present as well as past directorship details in other companies / banks:

Name of the Company / Bank	Directorship details viz. tenure, period etc.

(add additional sheets if necessary)
 (Delete whichever is not applicable)

Place : _____ Signature :.....
 Date : _____ Name :.....

The above declaration signed before me,

*Signature with Seal of Judge, Magistrate, Registrar or Sub-Registrar of Assurance, or other Gazetted Officer or an officer of the Reserve Bank of India or UCO Bank Or any Nationalized Bank.
 (Delete whichever is not applicable)

 *Signature & Seal with date

Personal Information, Declaration and Undertaking by the candidate

Affix passport size
photo here

Name of the Bank: UCO Bank

Declaration & Undertaking by the Candidate with enclosures as appropriate as on2017 (date of undertaking)

Sl. No.	Particulars	
I.	Personal Details of the Candidate	
1	Full Name of the Candidate (in Capital Letters)	
2	Father's Name in Full	
3	Date of Birth	
4	Permanent Address	
5	Present Address	
6	Telephone (Landline) Mobile Fax Number	
	Email id	
7	Permanent Account Number (PAN) under the Income Tax Act (Please enclose a copy) Name and address of the Income Tax Circle where personal tax returns are filed	
8	Educational Qualifications	
	(Educational/academic) (please attach self attested certificates evidencing the qualification)	
9	Relevant Knowledge and Experience (As required under (i) Section 9(3A) of the Banking Companies (Acquisition & Transfer of Undertakings) Act, 1970 (ii) RBI Notification DBR.Appt.BC.No. 38/29.39.001/2016-17 and DBR.Appt.BC.No. 39/29.39.001/2016-17 dated 24.11.2016 and (iii) Department of Financial Services, Ministry of Finance Government of India guidelines, F.No. 16/51/2012-BO.I dated 28.04.2015, for appointment of Part time Non official Directors.) (Please indicate experience relevant to the directorship of the Bank duly mentioning number of years of experience.) (Please attach self attested certificates evidencing knowledge/and experience)	
10	Any other information which the candidate desires to furnish	
II	Relevant Relationships of the Candidate	
11	List of relatives of the Candidate, if any, who are connected with the Bank (In terms of Section 6 and Schedule 1A of the Companies Act, 1956)	

12	List of entities in which the candidate is considered as being interested {Refer section 299(3)(a); Section 300 of the Companies Act, 1956 and Section 20 of the Banking Regulations Act 1949	
13	List of entities in which the candidate is considered as holding substantial interest within the meaning of section 5 (ne) of the Banking Regulation Act, 1949 proposed and existing	
14	Name of Bank(s) in which the candidate is or has been a member of the Board (provide details of period during which such office was held) (Please refer Department of Financial Services, Ministry of Finance, Government of India guidelines, F.No. 16/51/2012-BO.I dated 28.04.2015, for appointment of Part time Non official Directors.)	
15	Fund and Non Fund Facilities if any presently availed by the candidate or any of the entities listed in 12 and 13 above from the Bank	
16	Cases, if any, where the candidate or entities listed in 12 and 13 above are in default or have been in default in the past in respect of credit facilities obtained from the Bank or any other Bank/Financial Institution	
17	(i) Whether the candidate is a partner of any Chartered Accountant Firm which is currently engaged in any Public Sector Bank (PSB) as a Statutory Central Auditor; (ii) Whether the candidate is a partner of any Chartered Accountant Firm which is currently engaged in UCO Bank as Statutory Branch Auditor or Concurrent Auditor or himself engaged as Statutory Branch Auditor or Concurrent Auditor in UCO Bank?	
18	Whether candidate is connected with any hire purchase, financing, investment, leasing and other para-banking activities, MPs, MLAs, MLCs and Stock Brokers. If so, please provide the details there of. (Please Refer Department of Financial Services, Ministry of Finance Government of India guidelines, F.No. 16/51/2012-BO.I dated 28.04.2015, for appointment of Part time Non official Directors.)	
III	Records of professional achievements	
19	Professional achievements of the candidate, if any	
IV	Proceedings, if any, against the Candidate	
20	If the candidate is a member of a professional association/body, details of disciplinary action, if any, pending or commenced or resulting in conviction in the past against the candidate or whether the candidate has been banned from entry of any profession/occupation at any time.	
21	Details of prosecution, if any, pending or commenced or resulting in conviction in the past against the candidate and/or against any of the entities listed in 12 and 13 above for violation of economic laws and regulations.	

22	Details of criminal prosecution, if any, pending or commenced resulting in conviction in the past years against the candidate.	
23	Whether the candidate attracts any of the disqualification envisaged under section 274 of the Companies Act 1956?	
24	Has the candidate or any of the entities listed in 12 and 13 above been subject to any investigation at the instance of Government Department of Agency?	
25	Has the Candidate at any time been found guilty of violation of rules / regulations / legislative requirements by customs / excise / income tax / foreign exchange / other revenue authorities? If so, please furnish particulars.	
26	Whether the Candidate or entities listed in 12 and 13 above has at any time come to the adverse notice of a regulator such as SEBI, RBI, IRDA, DCA FEMA etc., (Though it shall not be necessary for a candidate to mention in the column about orders and findings made by regulators which have been later on reversed / set aside in toto, it would be necessary to make a mention of the same, in case the reversal / setting aside is on technical reasons like limitation or lack of jurisdiction, etc, and not on merit. If the order of the regulator is temporarily stayed and the appellate / court proceedings are pending, the same also should be mentioned).	
V	Any other explanation / information in regard to items I to III and other information considered relevant for judging fit and proper	

I confirm that the above information is to the best of my knowledge and belief true and complete. I undertake to keep the bank fully informed, as soon as possible, of all events which take place subsequent to my appointment which are relevant to the information provided above.

Date :

Place :

Signature :

UNDERTAKING

I _____
_____ Son/Daughter/Wife/ of Shri _____
confirm that the above information is to the best of my belief true and complete. I undertake to keep the Bank fully informed, as soon as possible, of all events which take place subsequent to my appointment which are relevant to the information provided above.

I also undertake to execute the deed of covenant required to be executed by all directors of the Bank.

Signature of Candidate

Place :

Date :

Enclosures :

Note:

- Where space is not sufficient, please attach the information as annexure in chronological order and with appropriate cross reference.
- Each page (including annexure) is required to be signed by the candidate.

Observations / Remarks of the Nomination Committee :		

Place :

Date :